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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

James E. Audia, et al.

Application No.: 09/882,777

Filed: June 14, 2001

For: POLYCYCLIC α -AMINO- ϵ -
CAPROLACTAMS AND RELATED
COMPOUNDS

Group Art Unit: 1624

Examiner: B. Kifle

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
TRANSMITTAL LETTER

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed is a Supplemental Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

- ☐ No additional fee for submission of an IDS is required.
- ☒ The fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.97(p) is also enclosed.
- ☐ A certification under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ A certification under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ Charge \$_____ to Deposit Account No. 02-4800 for the fee due.
- ☒ A check in the amount of \$ 180.00 is enclosed for the fee due.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

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Patent

Attorney's Docket No. 002010-685

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98.

Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited below is enclosed.

U.S. Patents

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Information Disclosure Statement
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Japanese patent application 179757 and published International Application No. WO 98/04539 have been cited merely for completeness of the record because both were cited by the Japanese examiner in the examination of the Japanese counterpart of the present application. Neither reference has an early enough effective reference date to be available as a reference against the present application. European patent application No. 810221A is the English language counterpart of International Application No. WO 96/25408, already of record herein. Due to problems encountered in downloading EP 810221A, the copy provided herein is missing pages 327-336.

The chemistry text, "The Synthesis of Lactose and Lactams" has been cited in an exercise of caution because it is referenced in the application as a synthesis resource. Because the text is over 1,000 pages, Applicants have merely enclosed the table of contents for the book.

A fee in the amount of \$180.00 (126) is enclosed in conformance with 37 C.F.R. § 1.97(c).

To assist the Examiner, the documents are listed on the attached form PTO-1449.
It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

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